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# **Order-Based GA** L. Davis, Handbook of GA, Chap. 6

#### Introduction

Encoding technique for a combinatorial optimization problem

Improve the performance with GA + Greedy algorithm

# The graph coloring problem

Graph

The graph coloring problem

The Greedy node coloring algorithm

Greedy Algorithm

An optimization algorithm that proceeds through a series of alternatives by making the best decision, as computed locally, at each point of the series





Figure 6.1: Example graph 1. Circles indicate nodes and lines indicate links. Inside each circle is the node's index and weight.





Figure 6.4: Example graph 2, a "hub and spokes" graph.

## The graph coloring problem

Number of colors

One coloring

Two coloring

NP-complete: No strategy for solving a problem is guaranteed to succeed quickly and optimally for all possible problem instances

### **Order-based representation**

- A technique for encoding solutions
  - Order the nodes on the graph in some way (weights, degrees), and then decode the ordering just as the greedy algorithm did
- Node coloring evaluator
  - The evaluation function takes each of the nodes on a chromosome in order and assigns each the first legal color that node can have, if any

#### **Order-based representation**

Permuted list representation

Every chromosome used by the genetic algorithm is the permutation of the list of nodes on the graph Initialization with random permutation Generate random permutation of the list of nodes Incorporate the chromosome generated by the greedy algorithm

### **Reordering Operators**

# PMX (Partially Matched Crossover)

A = 9 8 4 | 5 6 7 | 1 3 2 10

 $B = 8\ 7\ 1\ |\ 2\ 3\ 10|\ 9\ 5\ 4\ 6$ 

It uses position-wise exchange

A'= 9 8 4 | 2 3 10 | 1 6 5 7

B'= 8 10 1 | 5 6 7 | 9 2 4 3

Application to blind traveling salesman problem (see Figure 5.17, 5.18)

PMX respects absolute city position



**FIGURE 5.17** Partially matched crossover (PMX) operator in 10-city blind traveling salesman problem. Run 1 converges to optimal results. Population size n = 200 with  $p_c = 0.6$ . From Goldberg and Lingle (1985).



**FIGURE 5.18** Partially matched crossover (PMX) operator in 33-city blind traveling salesman problem.

### **Reordering Operators**

OX (Order Crossover)

 $A = 9 \ 8 \ 4 \ | \ 5 \ 6 \ 7 \ | \ 1 \ 3 \ 2 \ 10$ 

 $B=8\ 7\ 1\mid 2\ 3\ 10\mid 9\ 5\ 4\ 6$ 

It uses a sliding motion that starts following the second crossing site to fill the holes left by transferring the mapped positions

$$B = 8 h 1 | 2 3 10 | 9 h 4 h$$

- A'= 5 6 7 | 2 3 10 | 1 9 8 4
- $B'-2\ 3\ 10\ |\ 5\ 6\ 7\ |\ 9\ 4\ 8\ 1$

OX tends to respect relative city position

#### **Reordering Operators**

CX (Cycle Crossover)

 $C = 9 \ 8 \ 2 \ 1 \ 7 \ 4 \ 5 \ 10 \ 6 \ 3$ 

 $D=1\ 2\ 3\ 4\ 5\ 6\ 7\ 8\ 9\ 10$ 

It performs recombination under the constraint that each city name come from one parent or the other

$$C' = 9 \_ 1 \_ 4 \_ 6 \_$$

C' = 9 2 3 1 5 4 7 8 6 10

D' = 1 8 2 4 7 6 5 10 9 3

### **Reordering Operators**

Uniform Order-based crossover

A = 1 2 3 4 5 6 7 8 B = 8 6 4 2 7 5 3 1 0 1 1 0 1 1 0 0 (Binary Template)  $A'= _2 3 _5 6 ___$   $B'= 8 __ 2 __ 3 1$  A'= 8 2 3 4 5 6 7 1B'= 8 4 5 2 6 7 3 1

It combines the relative orderings of nodes on the two parent chromosomes in the two children

### An order-based mutation operator

Mutation on a single gene of an order-based chromosome cannot be operated Swapping of the values of two genes Scramble sublist mutation

Example

Parent: 123 | 45678 | 9 Child: 123 | 64875 | 9

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Figure 6.8: Performance curves for GA 6-1 and random generation on a 3-color graph coloring problem. The greedy algorithm scores 9,590.